

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known Application Number: 09/854,187 Filing Date: May 10, 2001 First Named Inventor: Steven R. Kleiman Art Unit: 2163 Examiner Name: Le, Uyen T. Attorney Docket Number: 5693P287CCXXX		
Sheet	1	of	2			
U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
UL		US-	5,278,838	1/11/1994	Ng et al.	
UL		US-	5,369,757	11/29/1994	Spiro et al.	
UL		US-	5,452,444	9/19/1995	Solomon et al.	
UL		US-	5,454,095	9/26/1995	Kraemer et al.	
UL		US-	5,907,672	5/25/1999	Matze et al.	
UL		US-	5,948,110	9/07/1999	Hitz et al.	
UL		US-	5,996,106	11/30/1999	Seyyed	
UL		US-	6,026,402	2/15/2000	Vossen et al.	
UL		US-	6,088,694	7/11/2000	Burns et al.	
UL		US-	2002/0049718 A1	4/25/2002	Kleiman et al.	
UL		US-	2002/0059172 A1	5/16/2002	Muhlestein	
		US-				
		US-				
		US-				
		US-				

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				
UL		WO	00/68795	11-16-2000	Network Appliance, Inc.		
UL		WO	02/29573 A2	4-11-2002	Network Appliance, Inc.		
UL		WO	02/44862 A2	6-06-2002	Network Appliance, Inc.		
UL		WO	93/13475	7-08-1993	Compaq Computer Corporation		

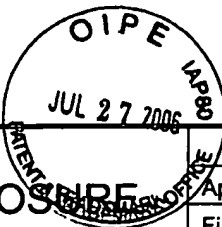
Examiner Signature	/Uyen Le/	Date Considered	09/02/2006
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Complete if Known

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number	09/854,187
Filing Date	May 10, 2001
First Named Inventor:	Steven R. Kleiman
Art Unit	2163
Examiner Name	Le, Uyen T.
Attorney Docket Number	5693P287CCXXX

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
UL		Gray et al. "Transaction Processing: Concepts and Techniques." 1993, Morgan Kaufmann, San Francisco, pp. 724-732.	
UL		Hansen, Per Brinch (editor), brochure: "RC 400 Software Multiprogramming System, Ch 4: Process Communication," A/s Regnecentralen, Copenhagen--April 1969. 9 pages	
UL		Hutchinson et al. "Logical vs. Physical File Systems Backup." Third Symposium on Operating Systems Design and Implementation, New Orleans, LA, USA, Feb. 22-25, 1999, pp. 239-249.	
UL		Mulqueen, John T., "Product Analysis Review," Communications Week, Vol. 452, pp. 25, May 3, 1993. 3 pages	
UL		Nelson, et al., "How and Why SCSI is Better than IPI for NFS," Auspex Systems, Inc. Technical Report 6, 2nd Edition, July 1992. 33 pages	
UL		Seltzer, Margo, et al., "An Implementation of a Log-Structured File System for UNIX," 1993 Winter UNSENI, San Diego, CA. 20 pages	
UL		Silberschatz, Abraham, et al., "Operating Concepts," Ch 3.7: Interprocess Communication, pp. 127-147, 1988 Addison-Wesley Publishing Company, Inc.	
UL		Sun Microsystems, "Network Programming Guide", Chapter 4, Remote Procedure Call Programming Guide, Revision A of March 27, 1990, pp. 65-128.	
UL		Tannebaum, Andrew S., "Computer Networks" (1988), 2nd Edition, Prentice Hall, pp. 35, 36, Chap. 9.	
UL		Unix System Laboratories, Inc., "Unix System V Release 4 Programmer's Guide: Streams, section 2: What is STREAMS?," AT & T Unix System Laboratories, Inc., Unix System V Release 4 Programmer's Guide: STREAMS. 1990 Prentice Hall. 27 pages	

Examiner Signature	/Uyen Le/	Date Considered	09/02/2006
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